



The Mather Group, LLC Privacy Notice

Maintaining the trust and confidence of our clients is a high priority. That is why we want you to understand how we protect your privacy when we collect and use information about you, and the steps that we take to safeguard that information. This notice is provided to you on behalf of The Mather Group, LLC (TMG).

Information We Collect

In connection with providing investment services, financial advice, or other services, we obtain non-public personal information about you which can include, but is not limited to:

- Information we receive from you on account applications, such as your address, date of birth, social security number, occupation, financial goals, assets, and income and credit history.
- Information about your account balances and transactions.

Purpose of Personal Information Processing

In connection with providing investment services, financial advice, or other services, we may use non-public personal information about you:

- To evaluate opening an account or when entering into a relationship with you at your request.
- To deliver services you have requested.
- To manage payments, fees, charges, transfer funds, and collect or recover outstanding debt.
- To manage our relationship with you.
- To send you marketing communications, regulatory disclosures, and service updates via paper and electronic channels.

Categories of Information We Disclose

We may only disclose information that we collect in accordance with this policy. TMG does not sell customer lists and will not sell your name to telemarketers. TMG does not receive information regarding marketing eligibility from affiliates to make solicitations.

Categories of Parties to Whom We Disclose

We will not disclose information regarding you or your account with us, except under the following circumstances:

- To entities that perform services for us or function on our behalf, including financial service providers, such as a clearing broker-dealer, investment company, insurance company, law or tax firms.
- To consumer reporting agencies as required by the Fair Credit Act.
- To third parties who perform services or marketing on our behalf as required by the Gramm-Leach-Bliley Act.
- To your attorney, trustee, or anyone else who represents you in a fiduciary capacity.
- To our attorneys, accountants, or auditors.
- To government entities or other third parties in response to subpoenas or other legal process as required by law or to comply with regulatory inquiries.

Closed or Inactive Accounts

If you decide to close your account(s) or become an inactive customer, we will continue to adhere to the policies and practices described in this policy statement.

Opting Out of Information Sharing

Federal and state laws give you the right to limit some but not all marketing from us as well as the information we share. Federal and state laws also require us to give you this notice to tell you about your choice to limit marketing from us as well as the information we share. You may limit us and our own subsidiaries, such as our insurance and securities affiliates, from marketing their products or services to you based on your personal information that they receive from us or from sharing your information.

California Residents

In accordance with California law, we will not share information we collect about you except as permitted by California law. This may include for our everyday business purposes, for marketing our products and services to you, and as permitted by law or otherwise authorized by you, including, for example, to service your account. Types of information we collect, in addition to what is described in this notice, may include, but is not limited to: financial information, demographic information, medical information, and employment information. We do not sell your information, nor do we share your information with non-affiliates or affiliated companies. Per the California Privacy Act, you have the right to: access your personal information that is collected, request that we delete your personal information pursuant to this act, request information about how your information is shared and what it is used for, know with what third parties your information is shared, and opt-out of the sharing of your personal information. To exercise any of these rights please contact us at: The Mather Group, LLC, 353 N. Clark Street, Suite 2775, Chicago, IL 60654, (630) 537-1078.

Regulation S-ID

Regulation S-ID requires our firm to have an Identity Theft Protection Program (ITPP) that controls reasonably foreseeable risks to clients or to the safety and soundness of our firm from identity theft. We have developed an ITPP to adequately identify and detect to prevent and mitigate identity theft.

Information Security

TMG restricts access to non-public personal information to those employees who need to know that said information in order to provide our products and services to you. We maintain physical, electronic, and procedural safeguards to protect your non-public personal information.

Website, Cookies and Third-Party Website Links

Information collected from your web browser may allow TMG's website to recognize your web browser and personalize the user experience and enhance navigation of the site (commonly referred to as "cookies"). You can change your cookie preferences by changing the settings on your web browser to delete or reject these cookies, however it may cause some website pages to not function properly.

TMG's website contains links that are maintained or controlled by third parties, each of which has a privacy policy. Please read the privacy policies of such third parties and understand that accessing their websites is at your own risk.

Complaint Notification

Please direct complaints to Maureen Kiefer-Goldenberg, at The Mather Group, LLC, 353 N. Clark Street, Suite 2775, Chicago, IL 60654, 312.535.4296.

We reserve the right to amend this privacy policy as needed. The revised Privacy Notice will be posted on our website and/or otherwise provided to you. If we make any substantial changes in the way we use or disseminate confidential information, we will notify you. If you have any questions concerning this Privacy Notice, please contact us at: The Mather Group, LLC, 353 N. Clark Street, Suite 2775, Chicago, IL 60654, (630) 537-1078.